



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

B. Johnson

Serial No.: 09/835,209

Filed: April 13, 2001

For: *DYNAMIC DETERMINATION OF
OWNERSHIP INTEREST BASED ON
CONTRIBUTION*

Art Unit: 2161

Examiner: Unassigned

2161

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Technology Center 2100

TRANSMITTAL LETTER

ATTN: BOX PGPUB DRAWINGS

Commissioner for Patents
Washington, D.C. 20231


Sir:

Transmitted herewith are a Petition pursuant to 37 C.F.R. §1.182, replacement drawings (19 sheets containing 21 figures), and the requisite fee of \$130 pursuant to 37 C.F.R. §1.17(h) for filing in connection with the above-identified application. Should said fee be either missing or incorrect, the Commissioner is authorized to charge deposit account No. 50-1213, for the appropriate fee as stated below:

- (X) The Commissioner is hereby authorized to charge any fee, including any submitted herewith if the attached check(s) is in the wrong amount or otherwise improper or missing, that may be due in connection with this and the attached papers, or with this application during its entire pendency to or to credit any overpayment to Deposit Account No. 50-1213.

Respectfully submitted,
HELLER, EHRMAN, WHITE & McAULIFFE LLP

By:


David A. Hall
Registration No. 32,233

Dated: July 23, 2001

Attorney Docket No.: 26893-6085

Address all correspondence to:

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: B. Johnson

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**PETITION PURSUANT TO 37 C.F.R. §1.182 - QUESTIONS NOT SPECIFICALLY
PROVIDED FOR: REQUEST FOR ENTRY OF REPLACEMENT DRAWINGS**

ATTN: BOX PGPUB DRAWINGS

Commissioner for Patents

Washington, D.C. 20231

Sir:

This is a Petition pursuant to 37 C.F.R. §1.182 to request the entry of replacement drawings in connection with publication of the above-identified application. The application was filed with informal drawings. The replacement drawings provided herewith are Formal Drawings. Therefore, these drawings are of better quality than those originally filed. Applicant respectfully requests the entry of the replacement drawings.

Respectfully submitted,
HELLER, EHRMAN, WHITE & McAULIFFE LLP

By:

David A. Hall

Registration No. 32,233

Dated: July 23, 2001

Attorney Docket No.: 26893-6085

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8/7/01

David A Hall
Heller Ehrman White and McAuliffe LLP
4350 La Jolla Village Drive 6th Floor
San Diego CA 92122-1246

Dear Applicant:

The Box PGPub replacement drawings for application number 09/835,209 received on 7/25/01 by the U. S. Patent and Trademark Office will be included in the application file but **will not** be included for patent publication due to the following reason(s):

1) ☐ Non compliance:

☐ Drawing(s) and/or Figure(s) Missing

☒ Deficiencies in Drawings:

☐ Improper paper size – must be either A4 (21cm x 29.7cm)

or 8-1/2" x 11"

☒ Improper margins (each drawing sheet must include a top margin of at least 1 inch, a left side margin of at least 1 inch, a right side margin of at least 5/8 inch, and a bottom margin of at least 3/8 inch)

☐ Not reproducible:

☐ Paper too thin

☐ Glossy pages

☐ Non-white background

☐ Drawing Objection(s)

☐ Missing lead lines, drawing(s) _____

☐ Line quality is too light, drawings(s) _____

☐ More than 1 drawing and not numbered correctly

☐ Non-English text, drawing(s) _____

☐ Excessive text, drawing(s) _____

☐ Photographs capable of illustration, drawing(s) _____

☐ Photographs may not be mounted (must be developed on a sheet of paper meeting the paper size requirement). 37 CFR 1.85(e)

2) ☐ Submission Did Not Meet Fee Requirements

☐ No Fee

☐ Insufficient fee by check

☐ Insufficient funds in deposit account

☐ Declined credit card

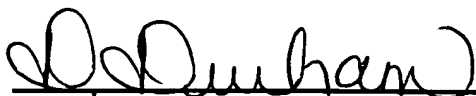
☐ Non authorization for charge to deposit account

3) ☐ Untimely Submission

09/835,209

If you desire to have replacement drawings included for patent publication, you must submit new drawings, a petition, the petition fee of \$130 and a transmittal letter. The new submission must be submitted to the U. S. Patent and Trademark Office within the later of one month from the filing date of the application, or fourteen months from the earliest filing date for which a benefit is sought under title 35, United States Code.

Telephone inquiries should be directed to Customer Service, Office of Initial Patent Examination, at 703-308-1202.



Signature of Reviewer, Office of Initial Patent Examination

703-308-1202

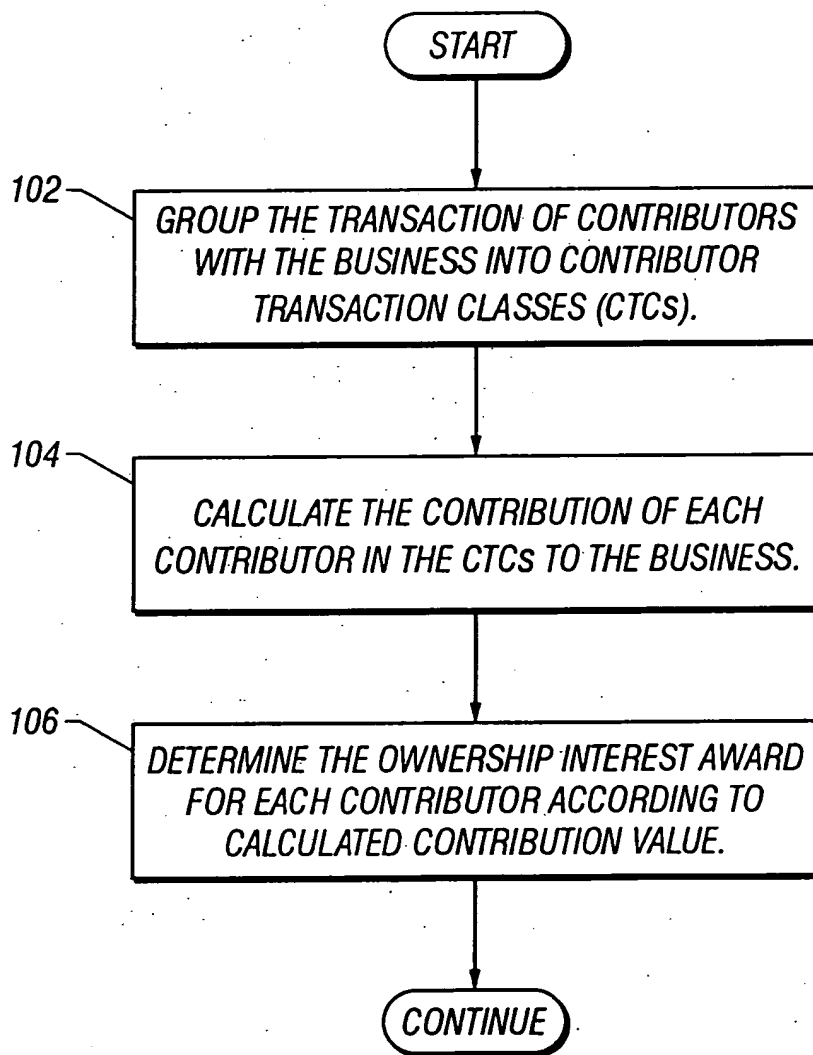


FIG. 1

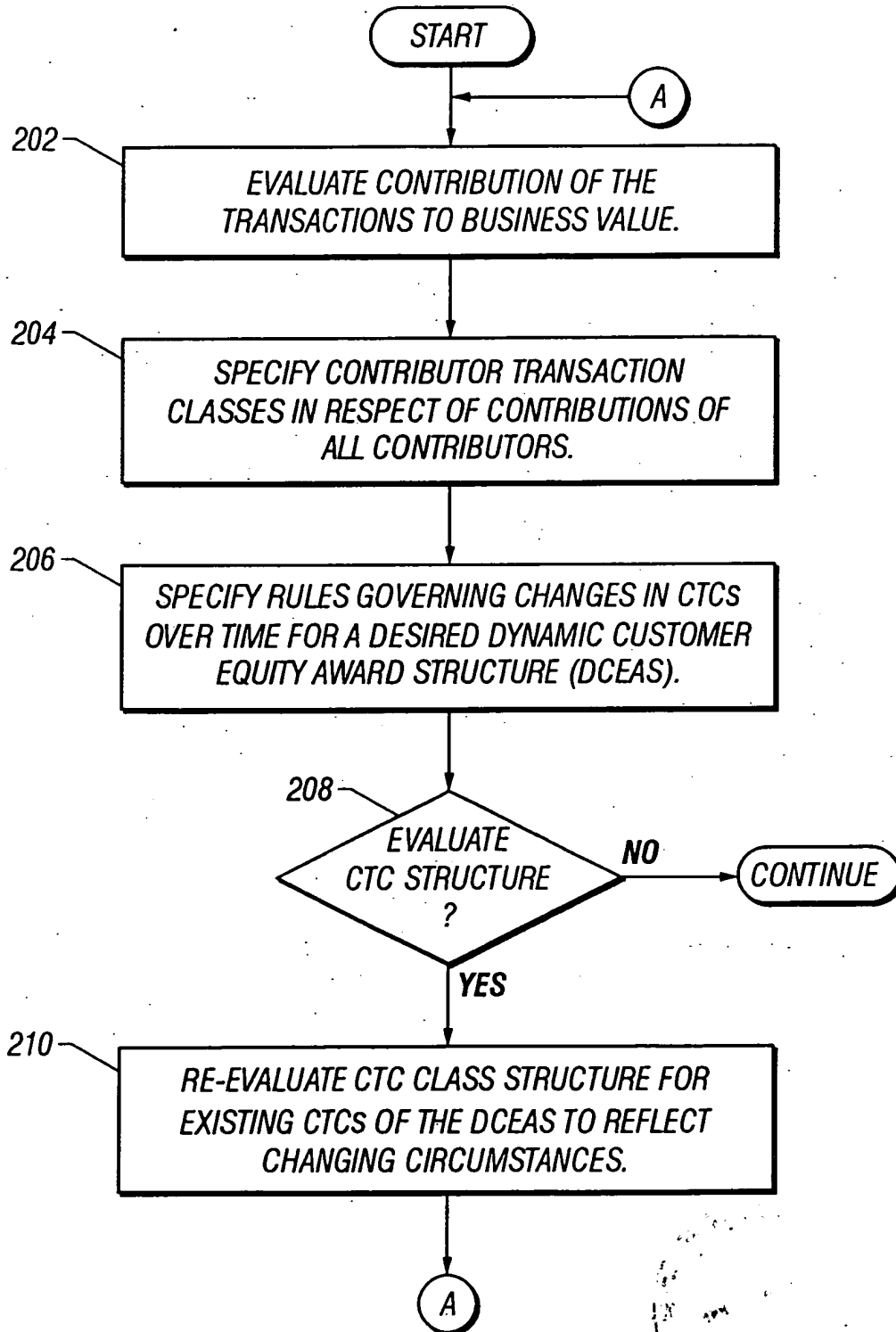


FIG. 2

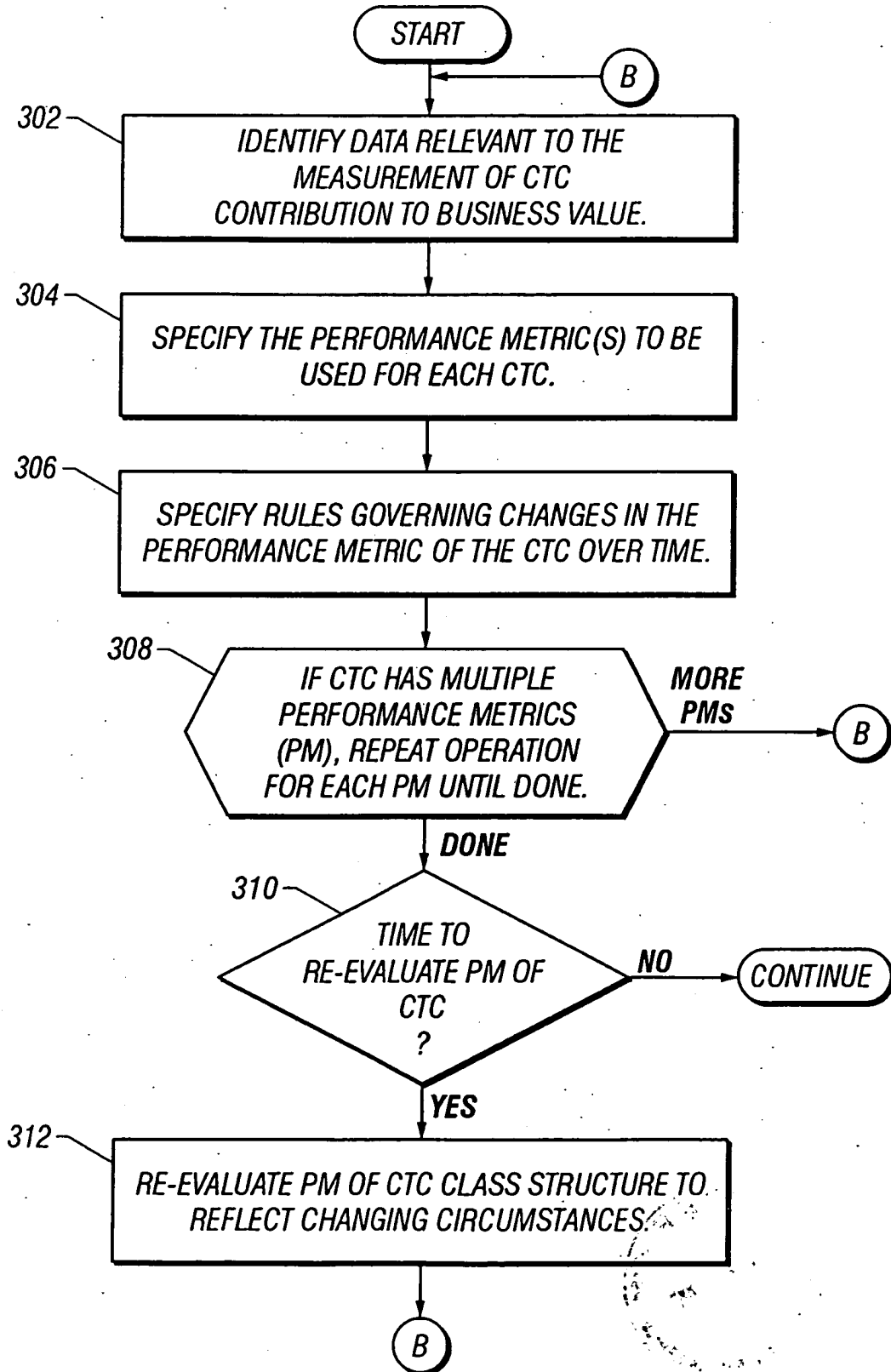


FIG. 3

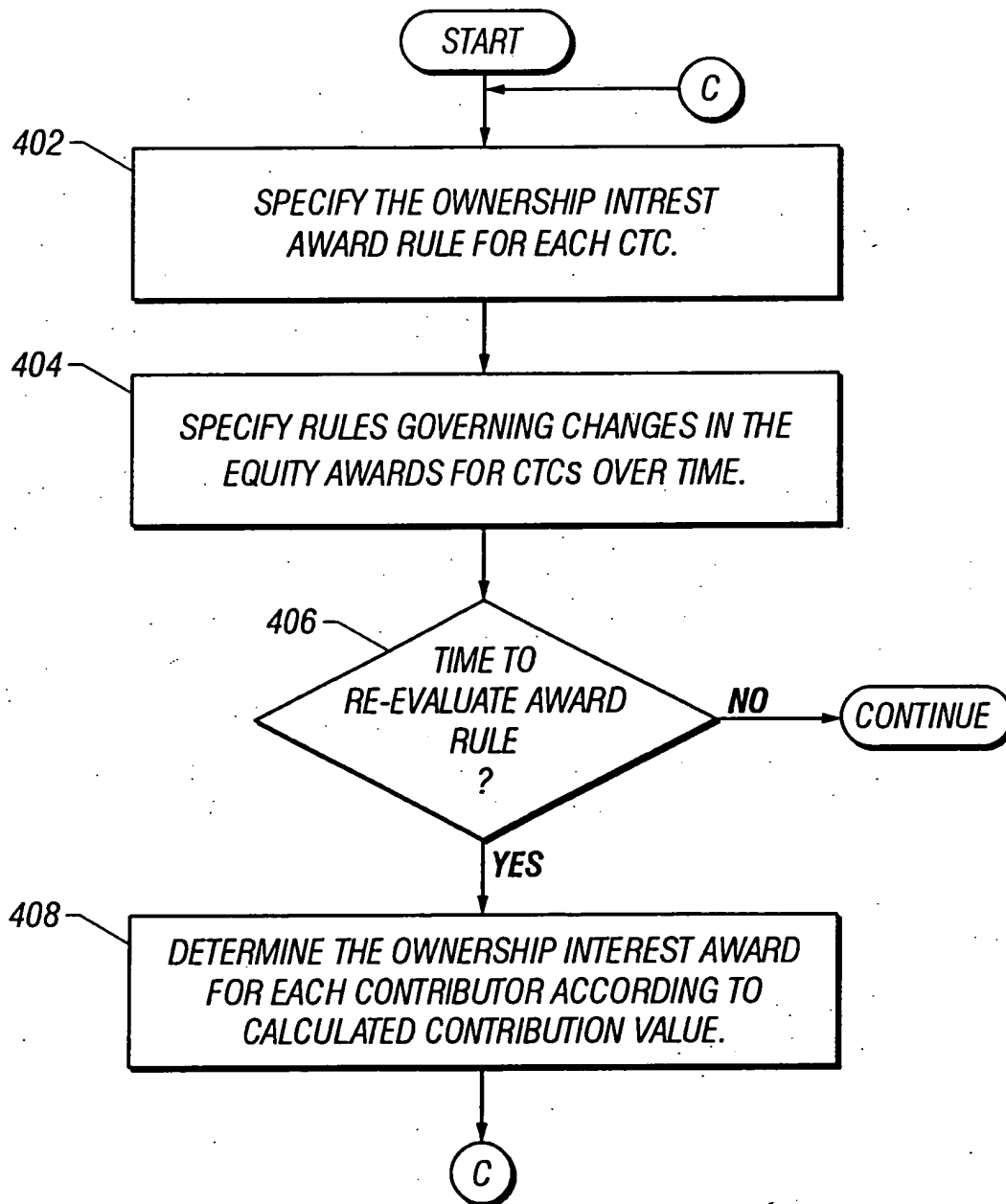


FIG. 4

500

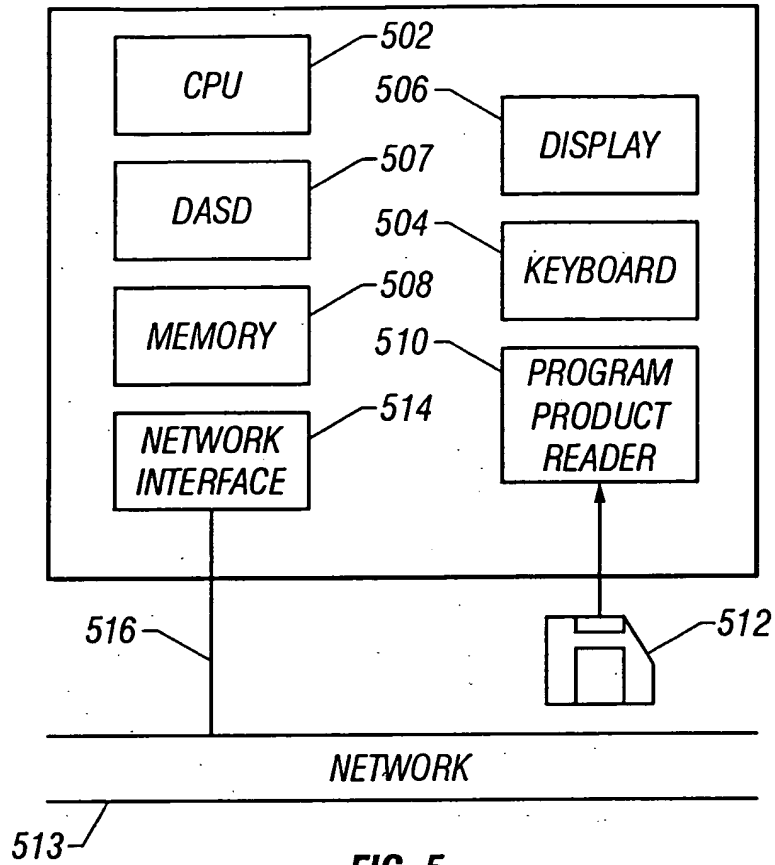


FIG. 5

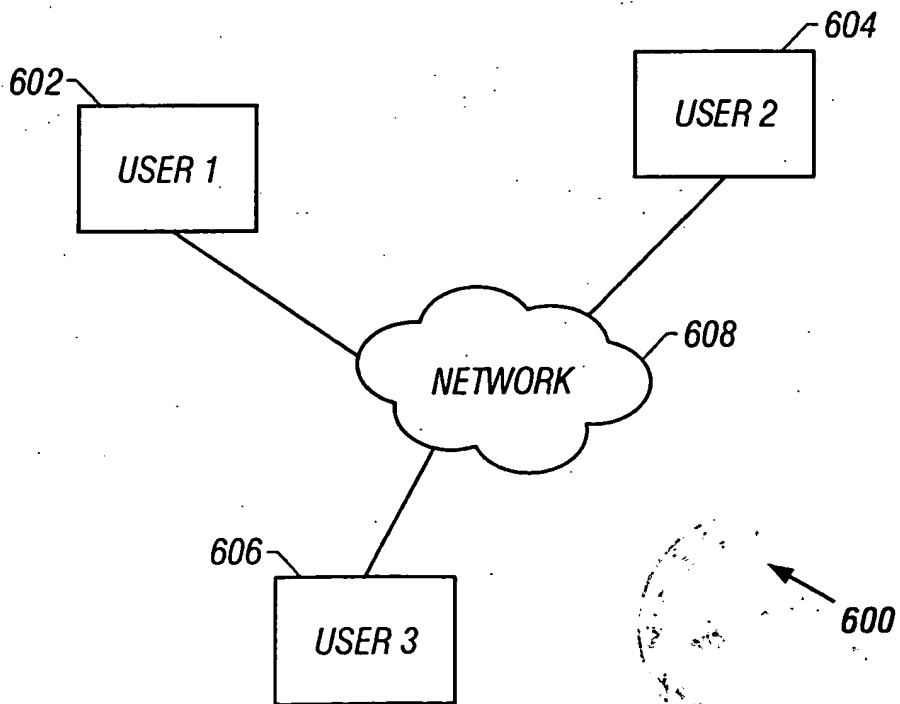


FIG. 6

**Percentage of Spot
Performance Metric**

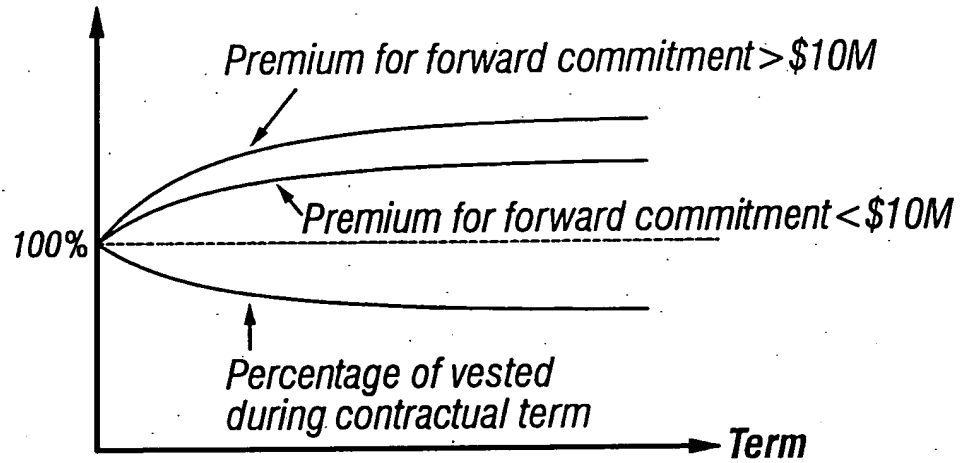


FIG. 7

Equity Class Size as % of Firm

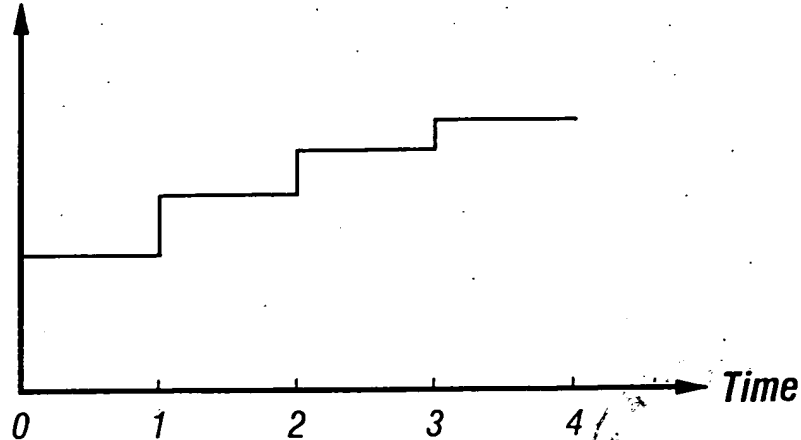


FIG. 8

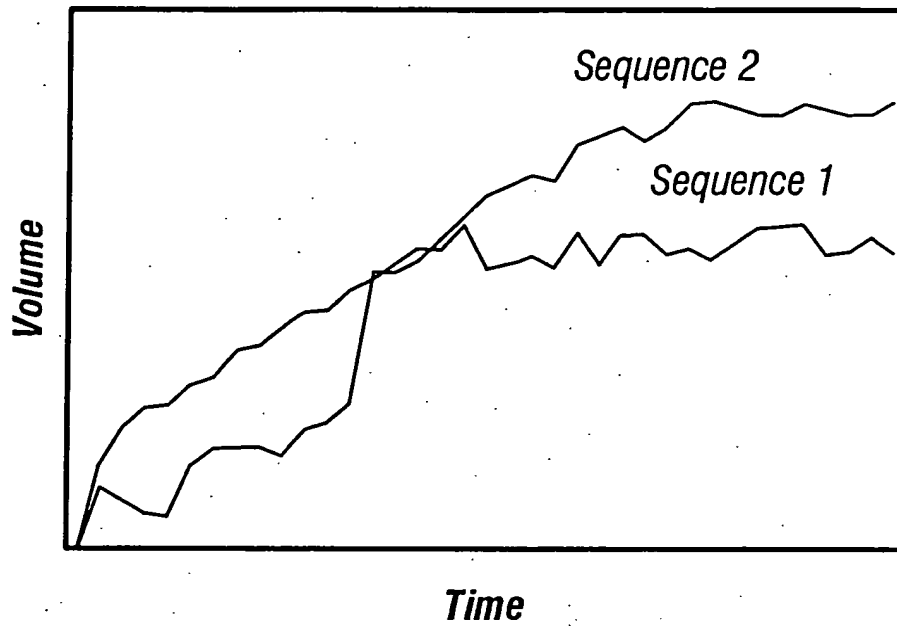


FIG. 9A

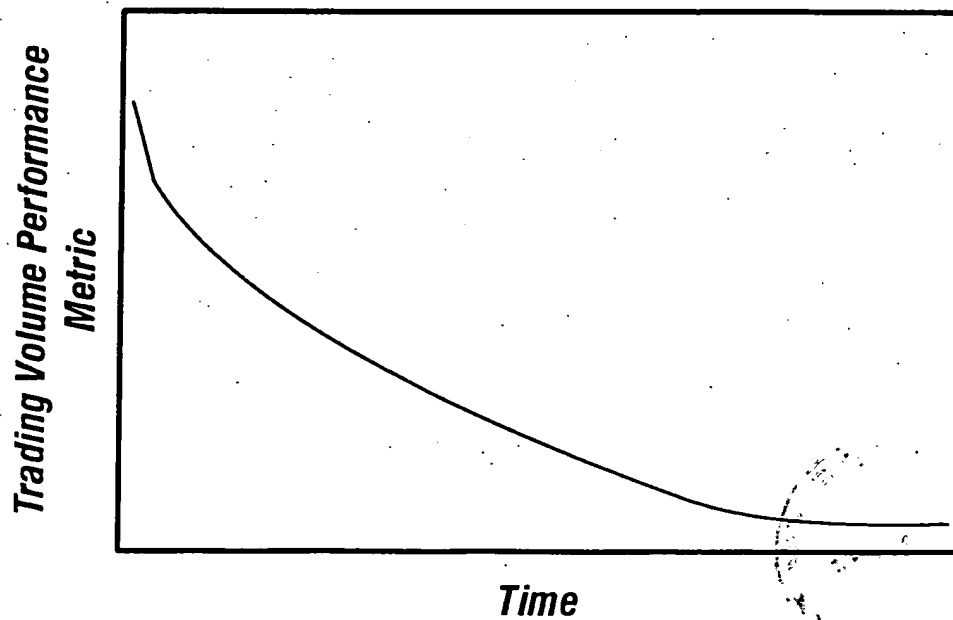


FIG. 9B

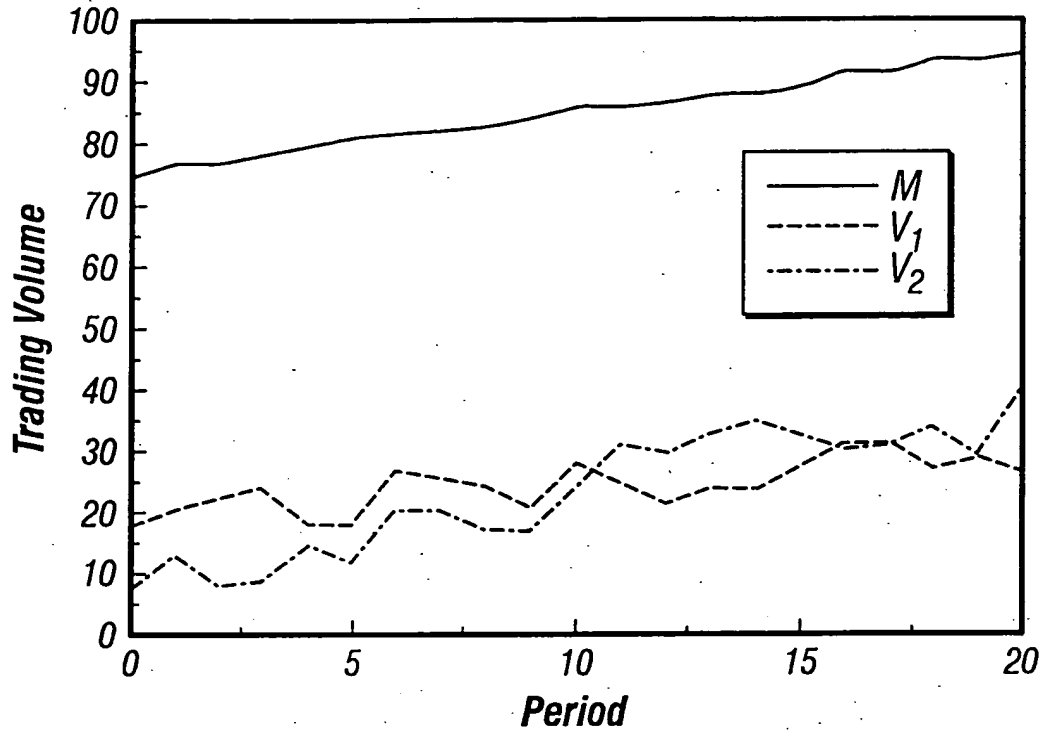


FIG. 10

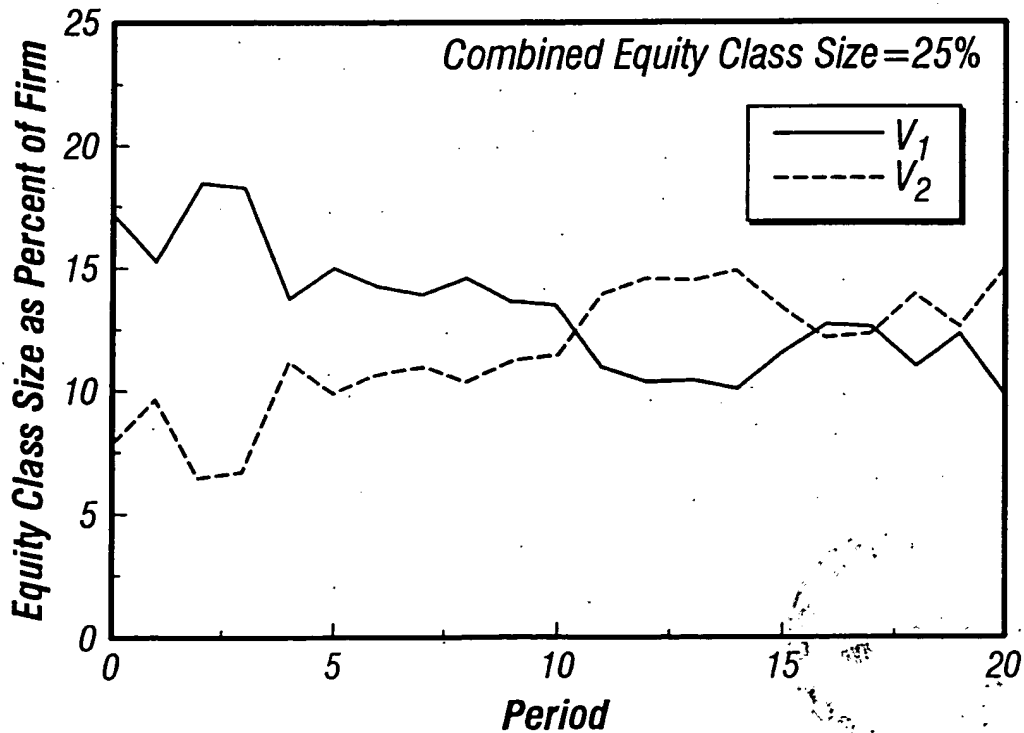


FIG. 11

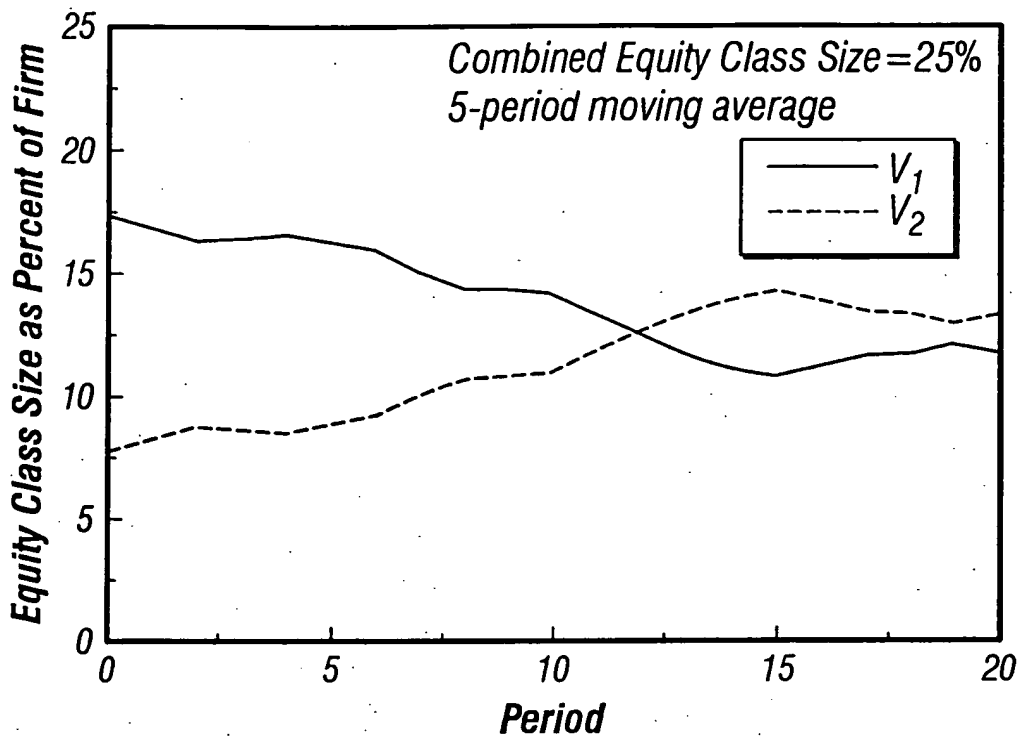


FIG. 12

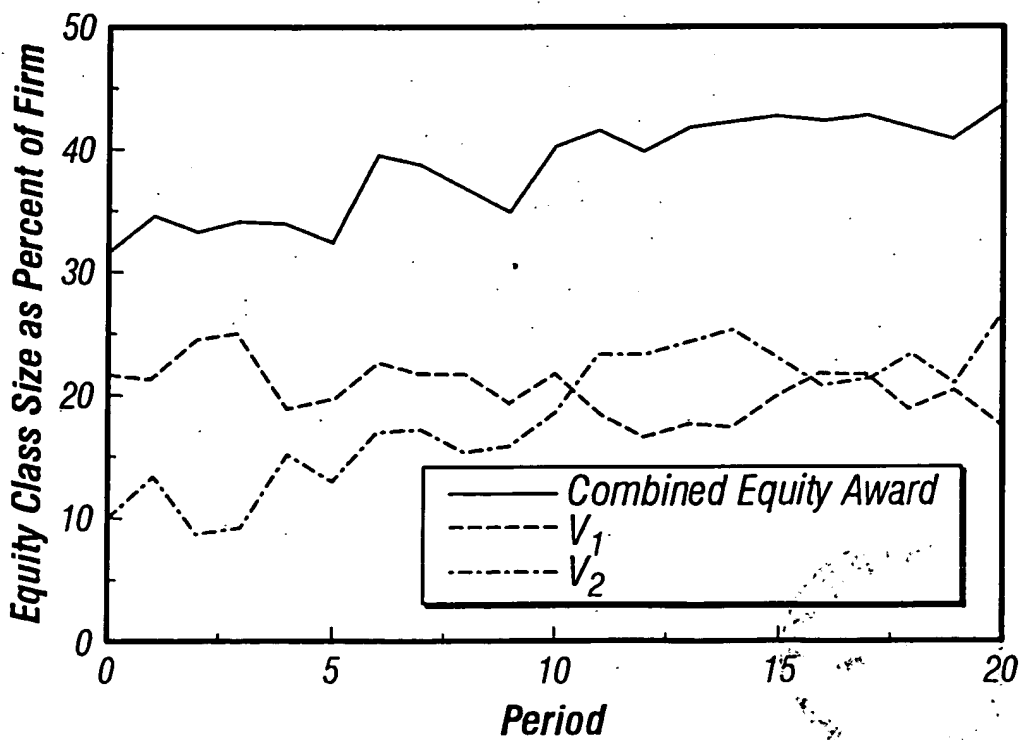
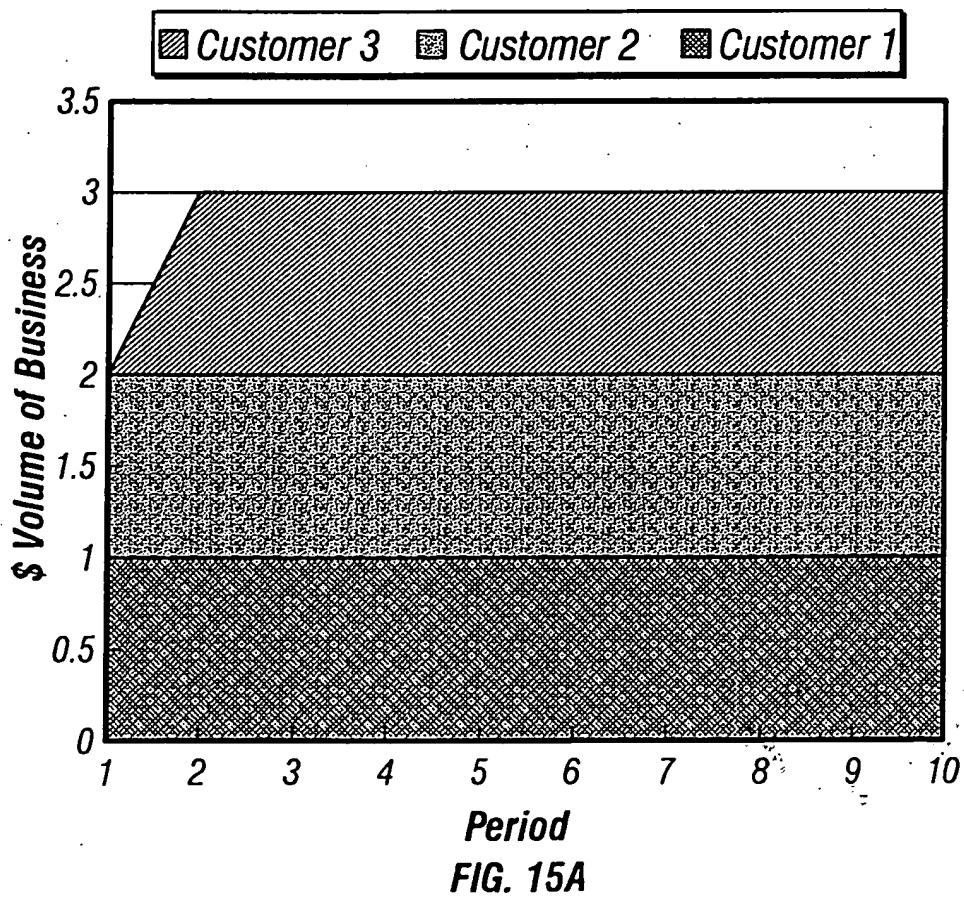
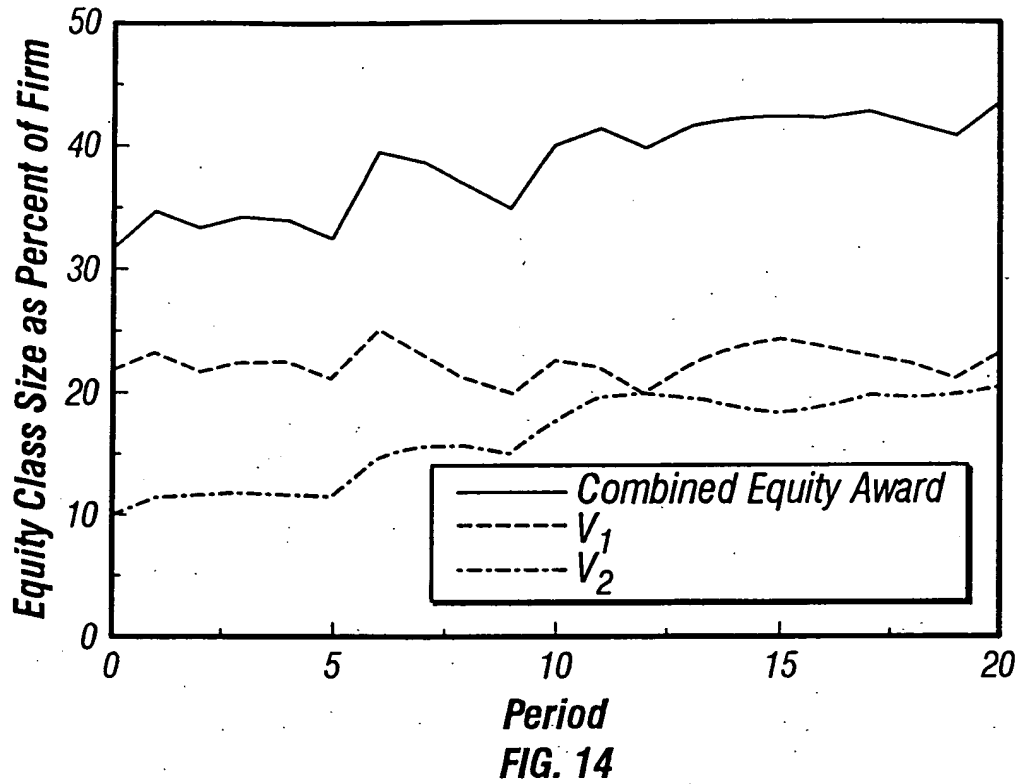
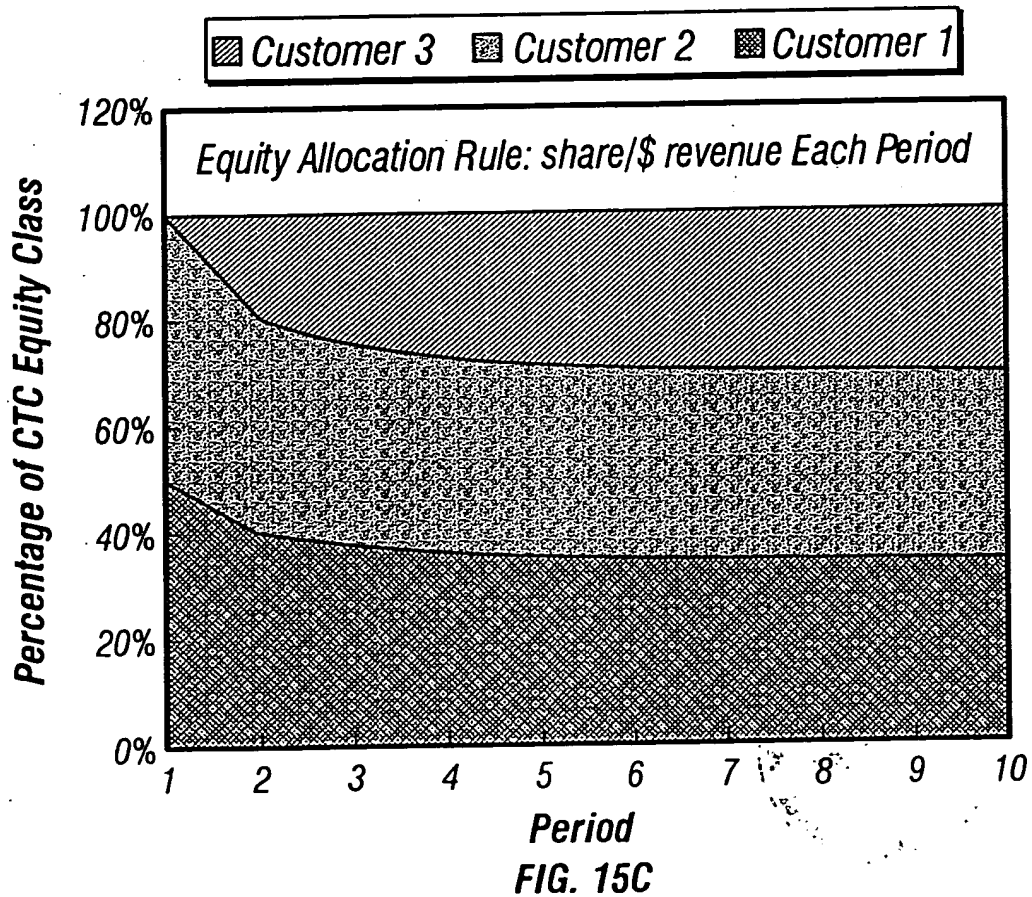
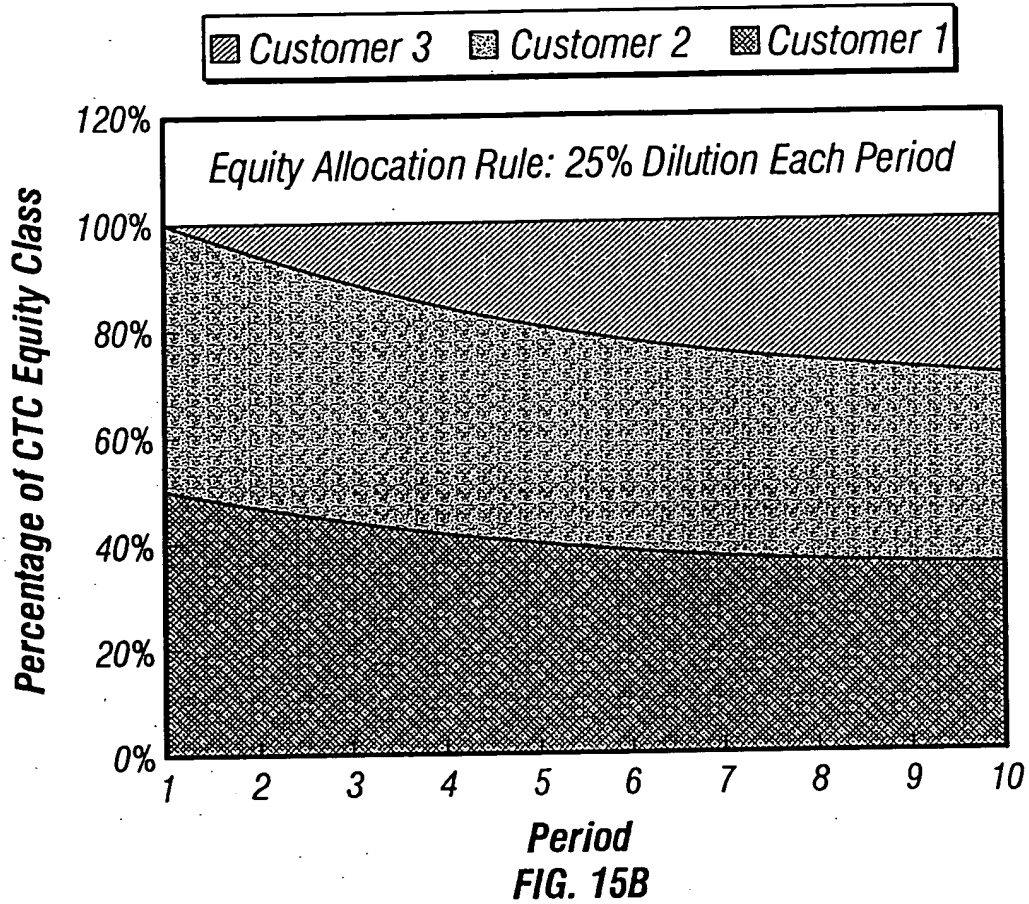
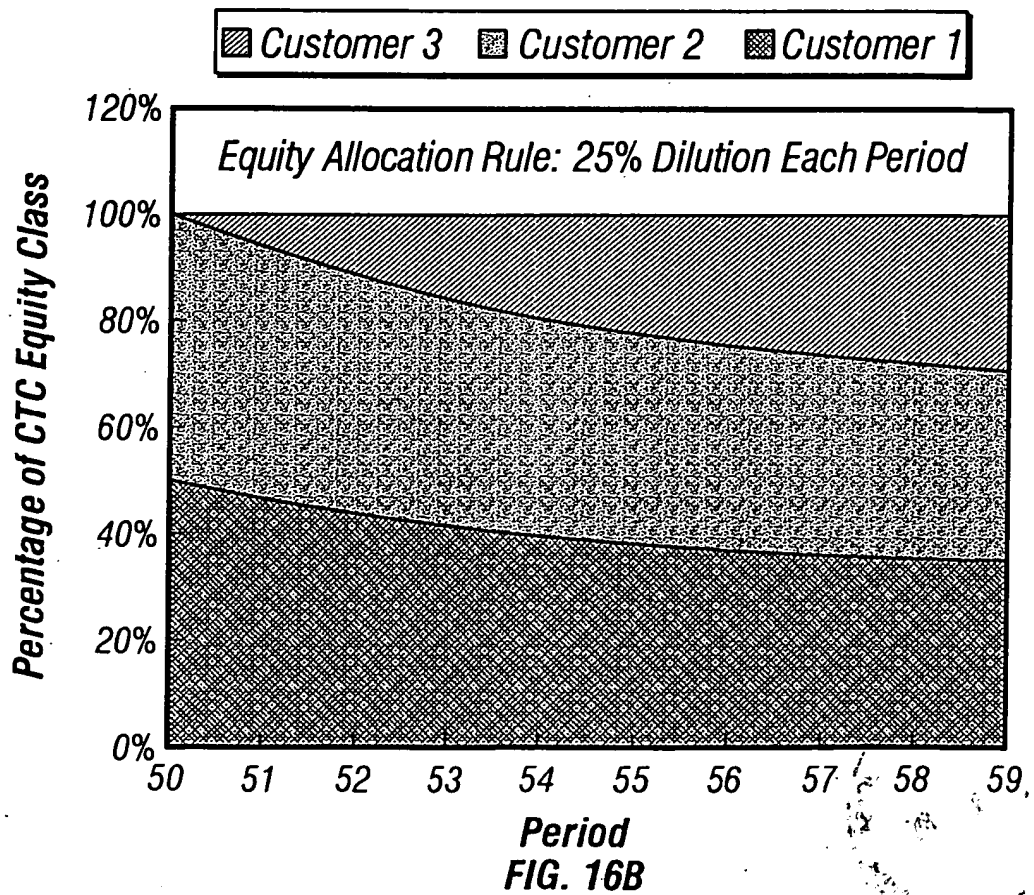
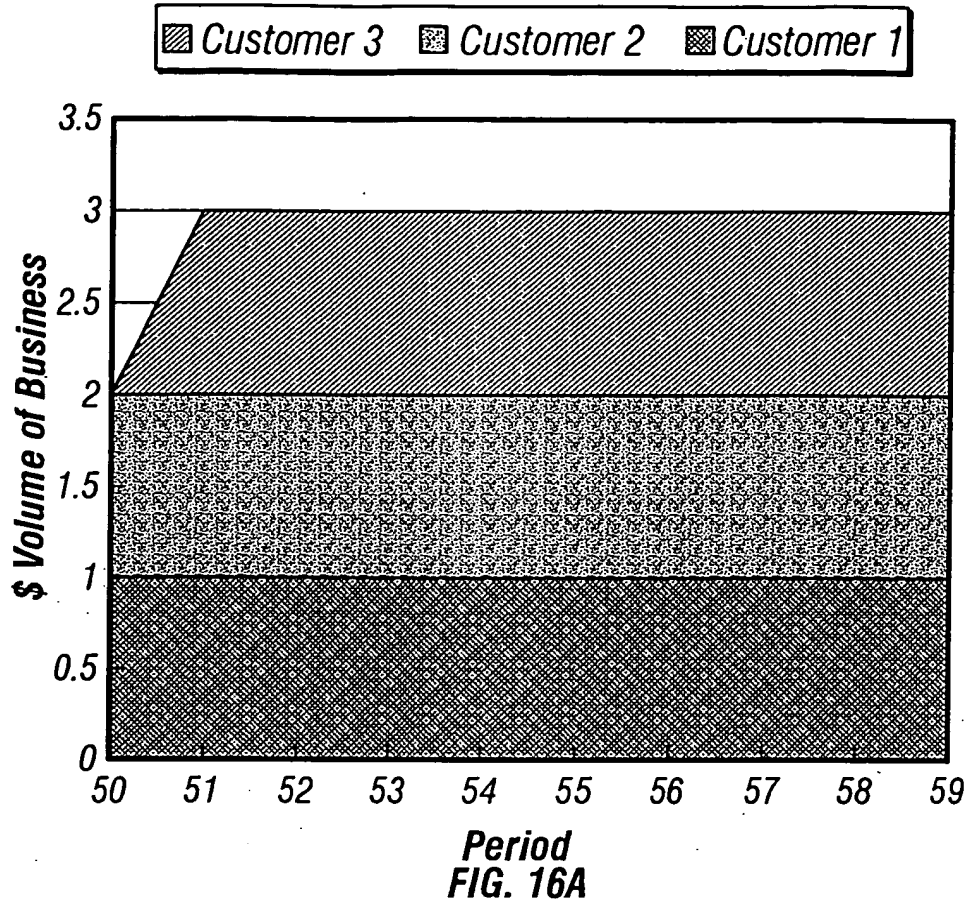


FIG. 13







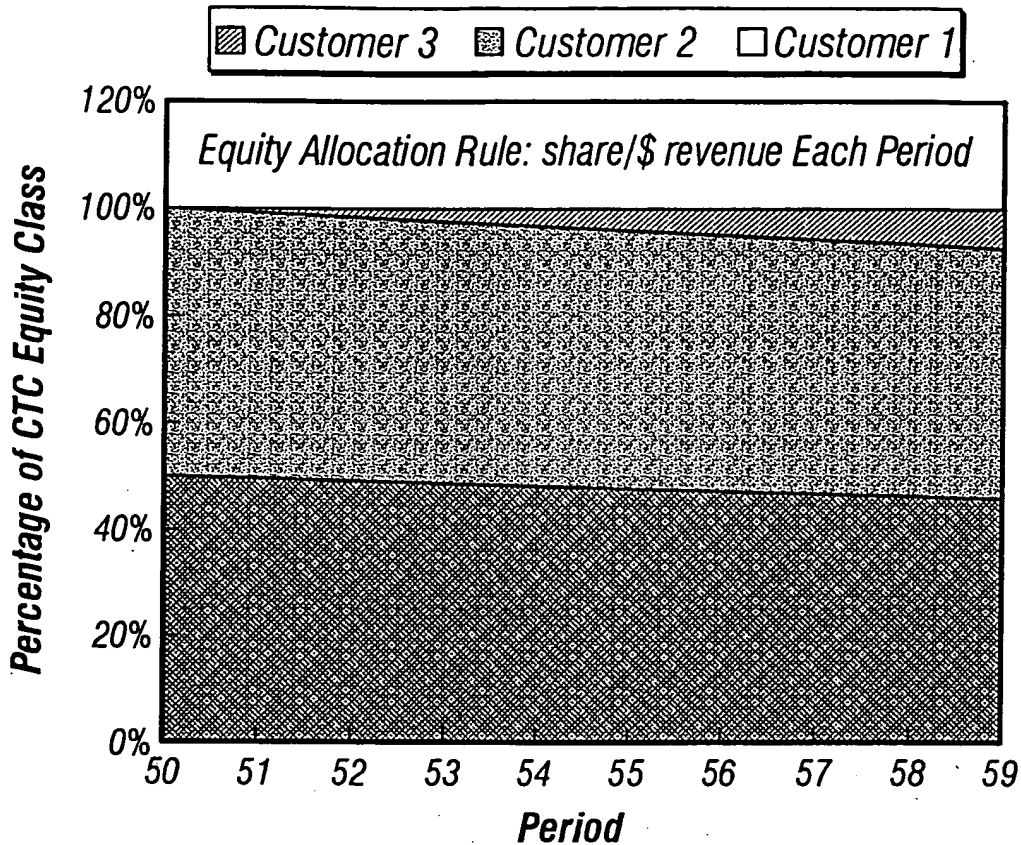


FIG. 16C

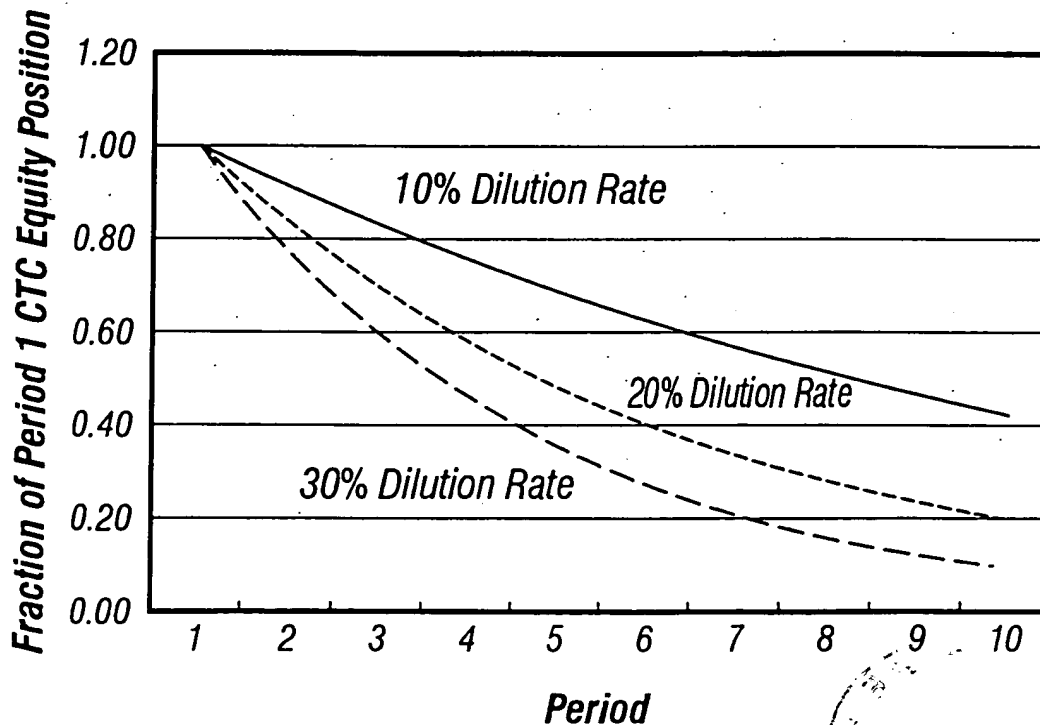


FIG. 17

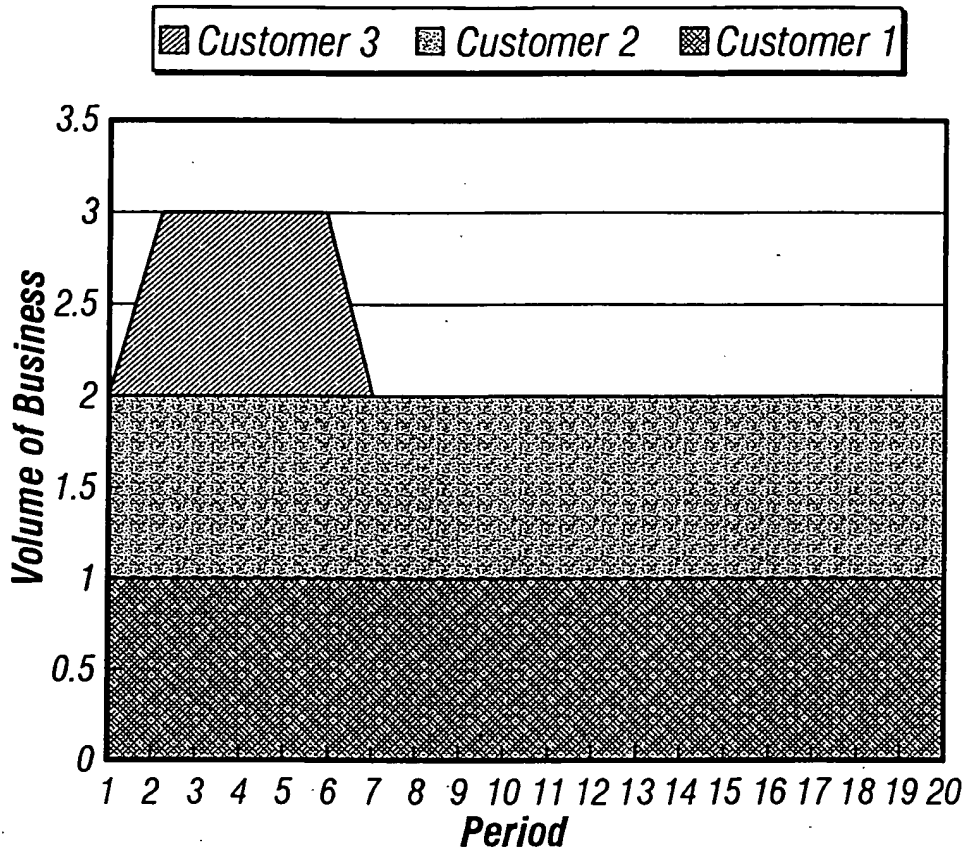


FIG. 18A

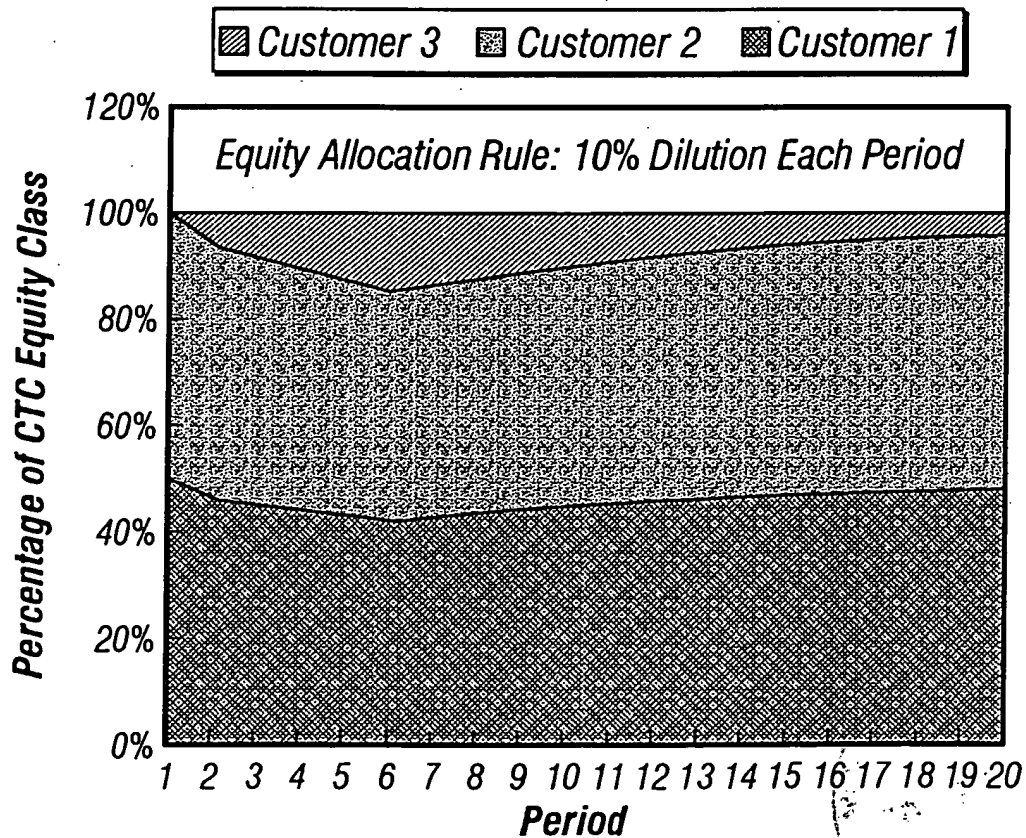


FIG. 18B

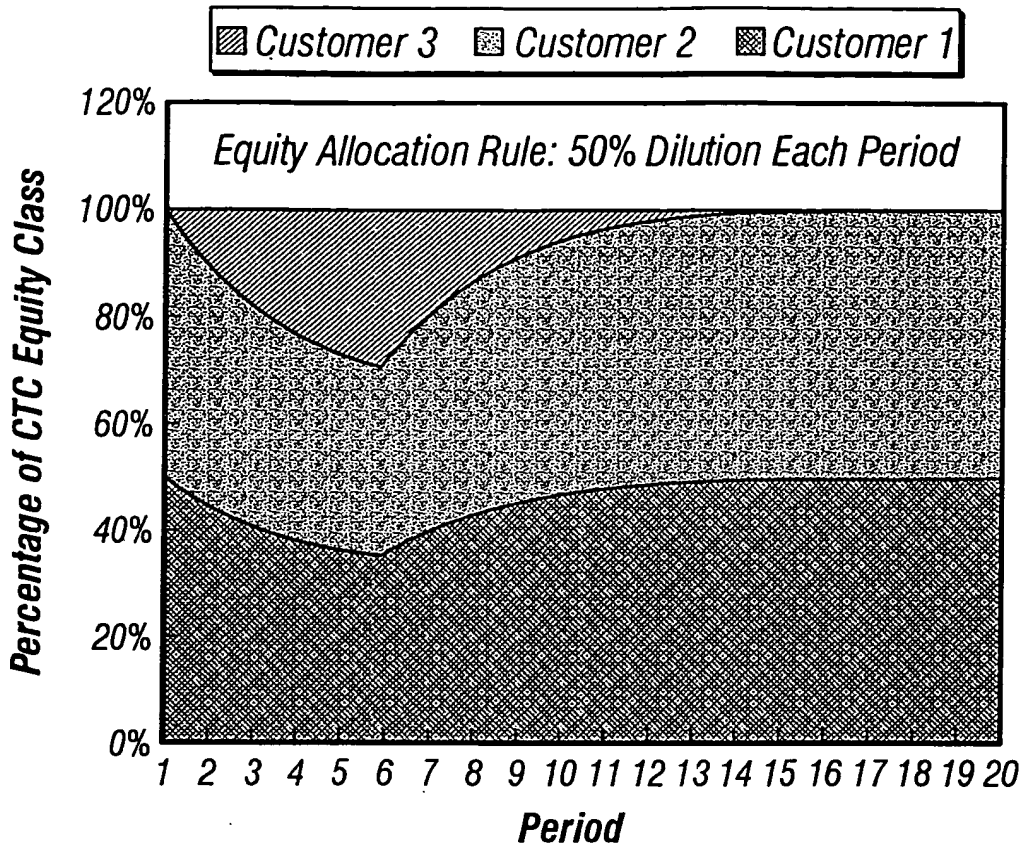


FIG. 18C

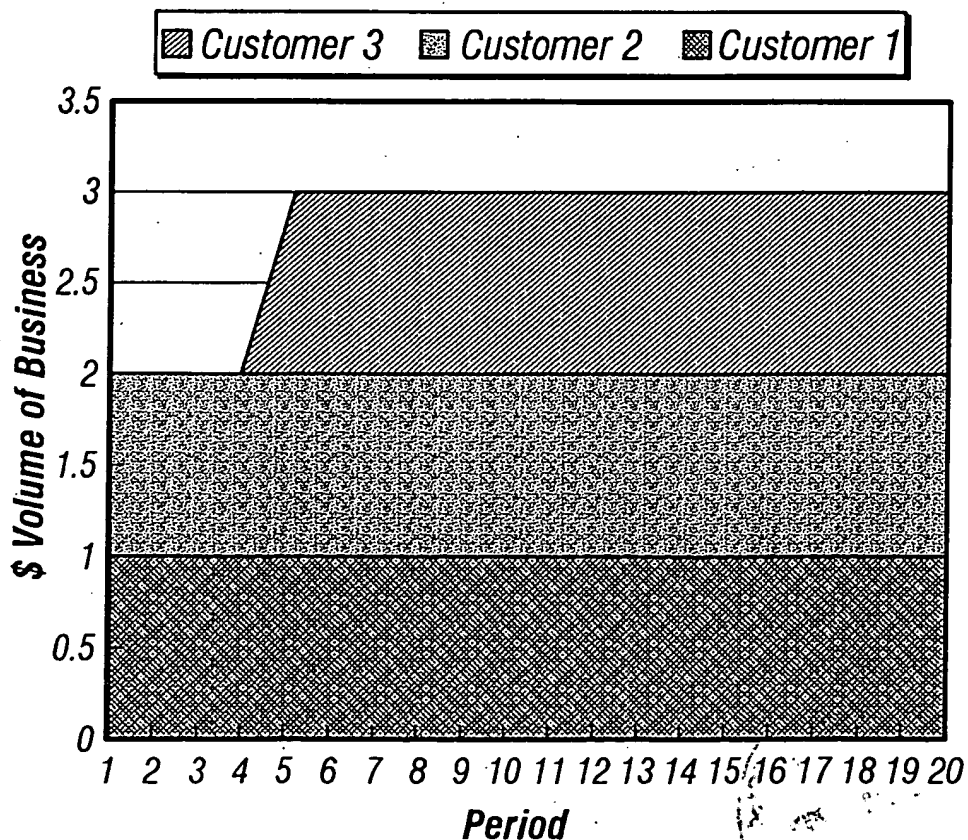
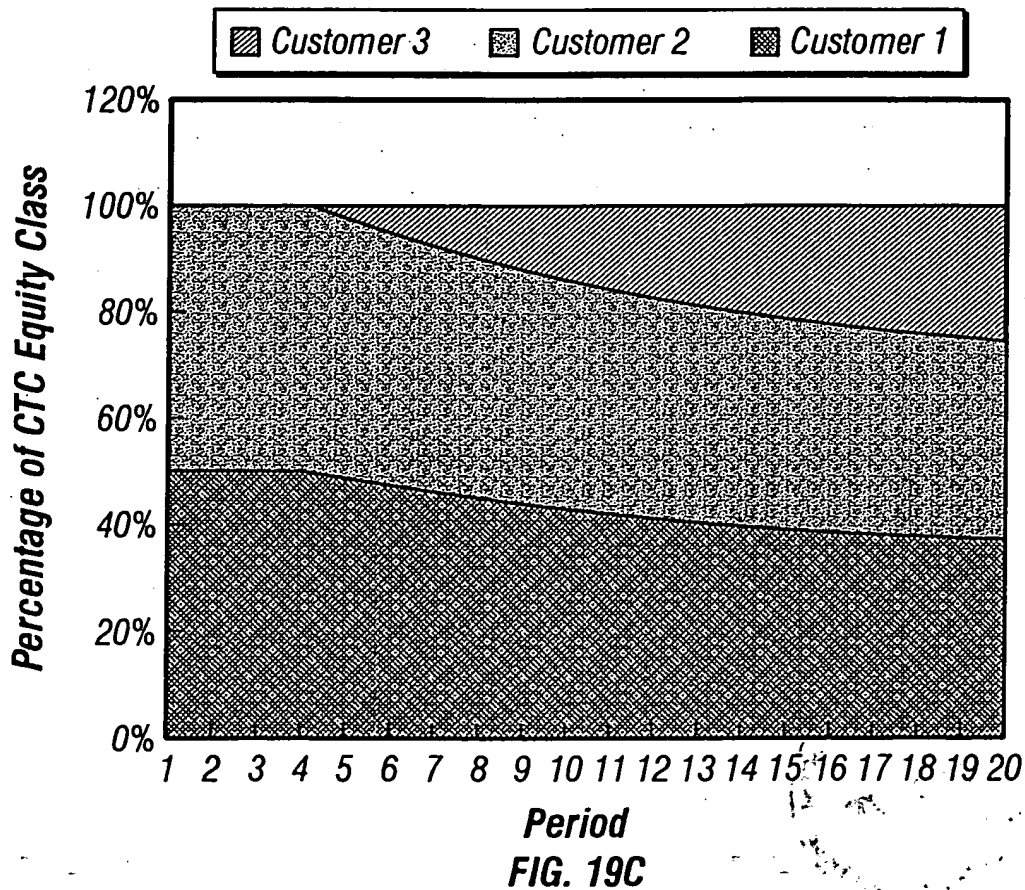
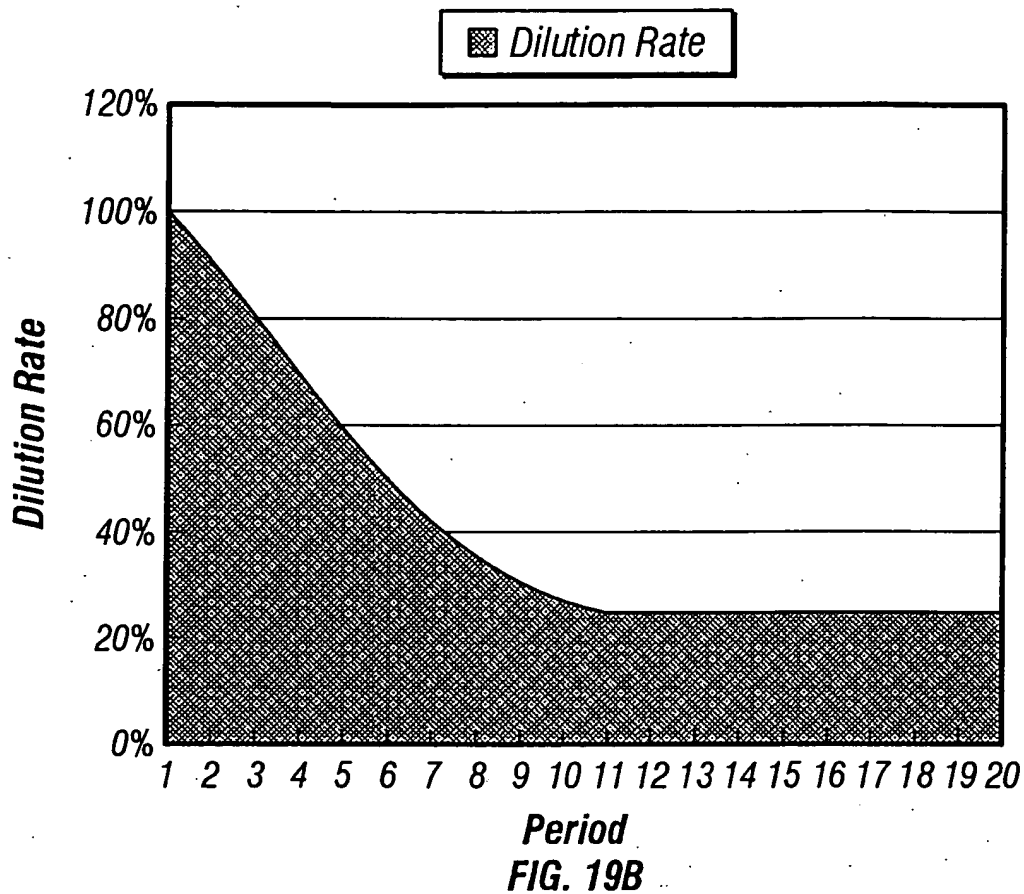
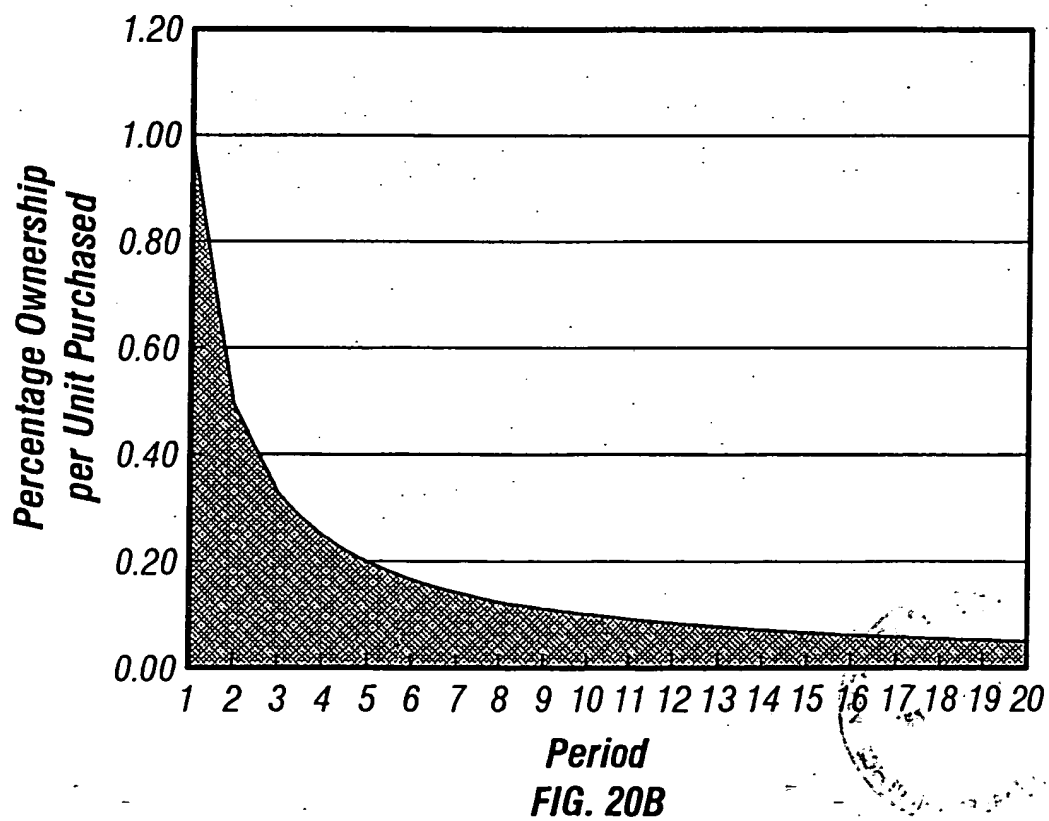
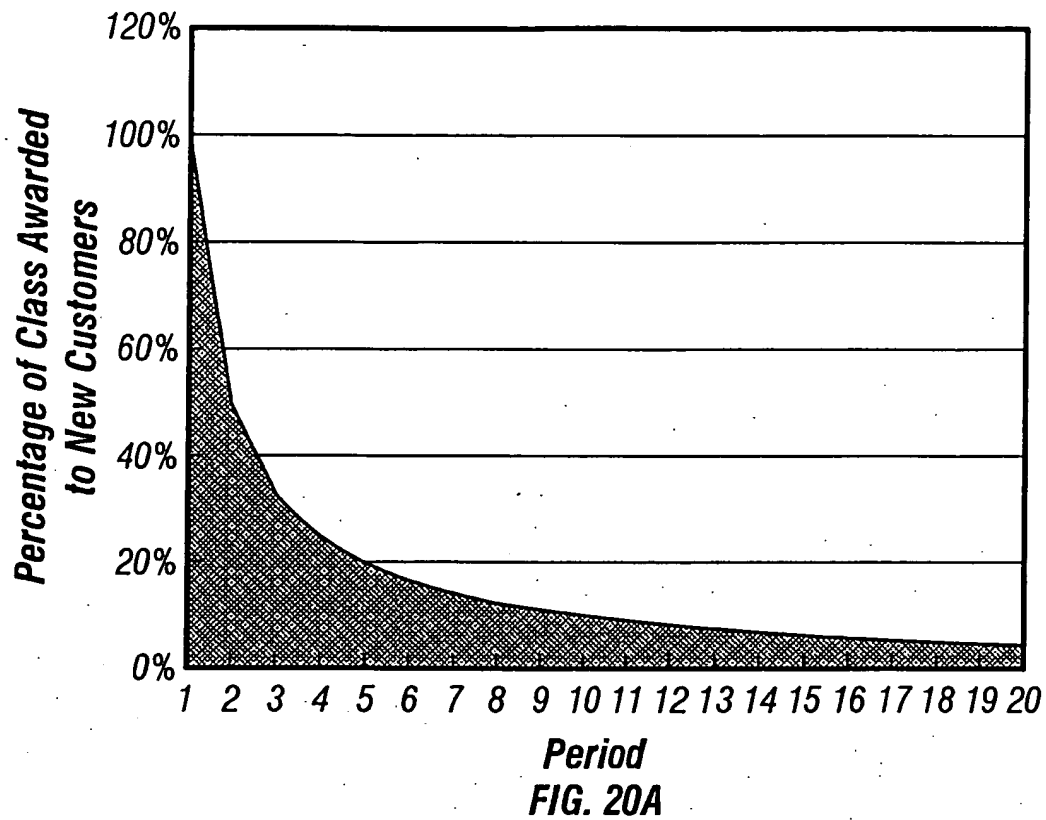


FIG. 19A





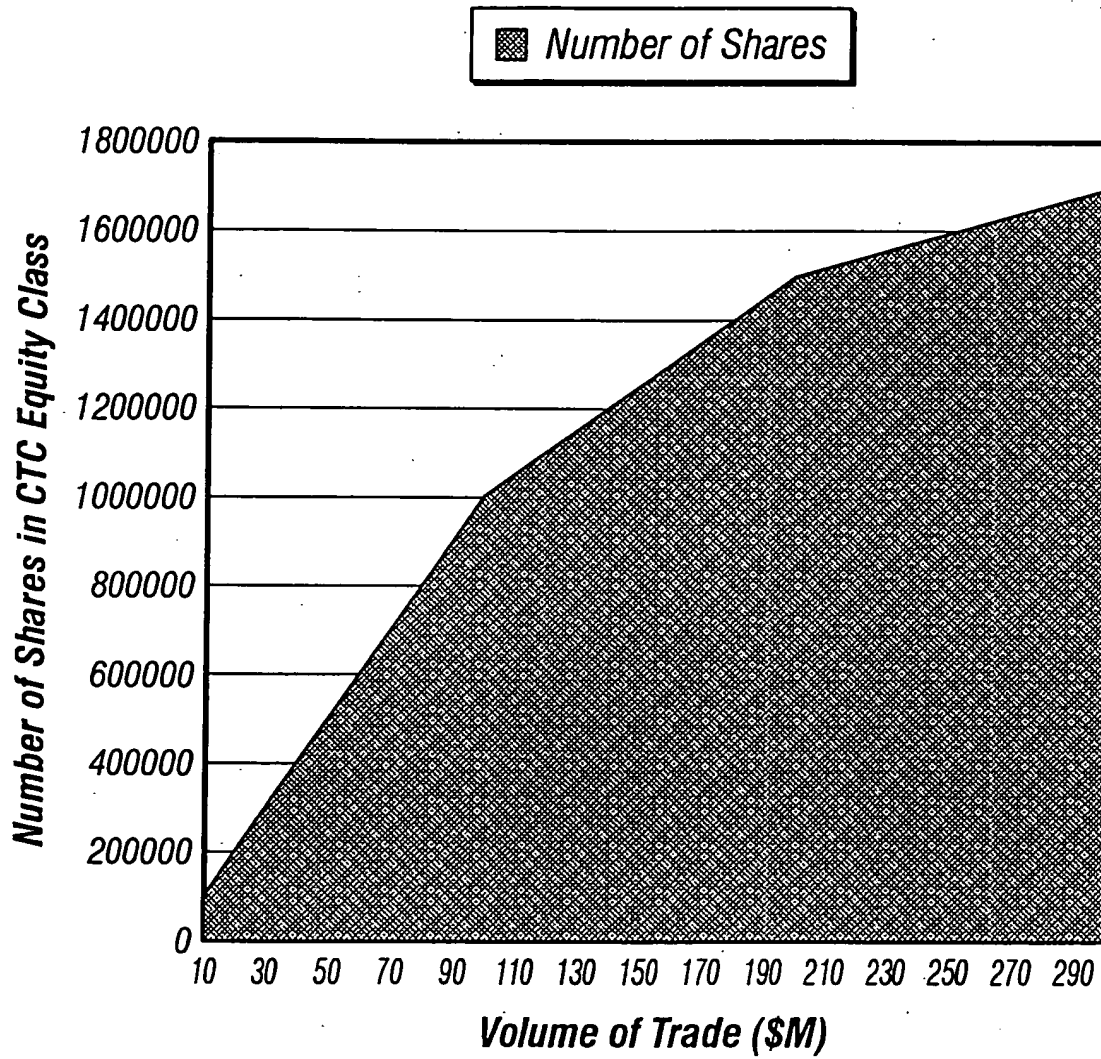


FIG. 21A



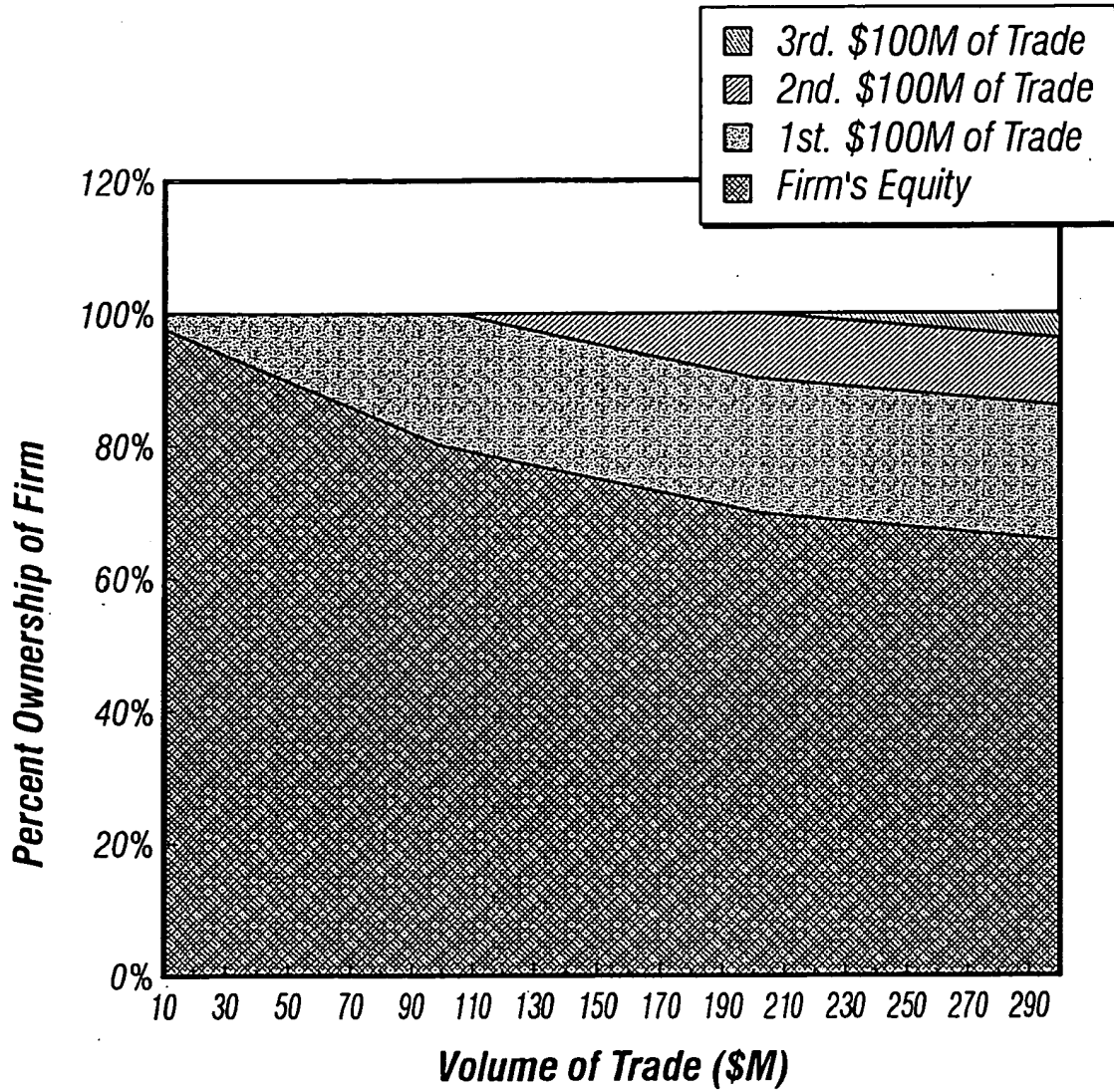


FIG. 21B